## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

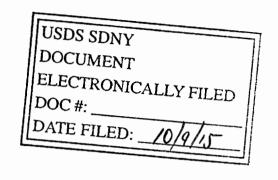
JANE FRANKLIN and JONATHAN RICHARD WILLIAMSON, on behalf of themselves and all others similarly situated,

Plaintiffs,

v.

BANK OF NOVA SCOTIA, NEW YORK AGENCY; BARCLAYS CAPITAL INC.: BMO CAPITAL MARKETS CORP.; BNP PARIBAS SECURITIES CORP.; CANTOR FITZGERALD & CO.; CITIGROUP GLOBAL MARKETS INC.; CREDIT SUISSE SECURITIES (USA) LLC: DAIWA CAPITAL MARKETS AMERICA INC.: DEUTSCHE BANK SECURITIES INC.; GOLDMAN, SACHS & CO.; HSBC SECURITIES (USA) INC.; JEFFERIES LLC; J.P. MORGAN SECURITIES LLC: MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED; MIZUHO SECURITIES USA INC.; MORGAN STANLEY & CO. LLC; NOMURA SECURITIES INTERNATIONAL, INC.; RBC CAPITAL MARKETS, LLC; RBS SECURITIES INC.; SG AMERICAS SECURITIES, LLC; TD SECURITIES (USA) LLC; and UBS SECURITIES LLC,

Defendants.



Civil Action No. 1:15-cv-07329-PGG

## STIPULATION SETTING TIME FOR DEFENDANTS TO ANSWER, MOVE, OR OTHERWISE RESPOND TO THE COMPLAINT AND FOR THE PARTIES TO PROPOSE CERTAIN CASE MANAGEMENT DATES

WHEREAS, on July 23, 2015, plaintiff State-Boston Retirement System filed a complaint in Case No. 1:15-cv-05794 in the Southern District of New York ("State Boston case");

WHEREAS, on July 23, 2015, plaintiffs Beaver County Employees' Retirement Fund, Erie County Employees' Retirement System, and Lackawanna County Employees' Retirement Fund filed a complaint in the above-captioned case ("Beaver County case");

WHEREAS, on July 24, 2015, plaintiff Arkansas Teacher Retirement System filed a complaint in Case No. 1:15-cv-05830 in the Southern District of New York ("Arkansas Teacher case");

WHEREAS, on July 24, 2015, plaintiff Marc G. Federighi filed a complaint in Case No. 1:15-cv-05843 in the Southern District of New York ("Federighi case");

WHEREAS, on July 28, 2015, plaintiffs United Food and Commercial Workers Union and Participating Food Industry Employers Tri-State Pension Fund filed a complaint in Case No. 1:15-cv-05931 in the Southern District of New York ("United Food case");

WHEREAS, on July 29, 2015, plaintiff Inter-Local Pension Fund Graphic Communications Conference of the International Brotherhood of Teamsters filed a complaint in Case No. 1:15-cv-5939 in the Southern District of New York ("Inter-Local case");

WHEREAS, on July 29, 2015, plaintiff United International Insurance Company filed a complaint in Case No. 1:15-cv-05957 in the Southern District of New York ("UI Insurance case");

WHEREAS, on July 30, 2015, plaintiff IBEW Local 640 Arizona Chapter NECA

Pension Trust Fund filed a complaint in Case No. 1:15-cv-06003 in the Southern District of New

York ("IBEW case");

WHEREAS, on August 3, 2015, plaintiff City of Pontiac Police and Fire Retirement

System filed a complaint in Case No. 1:15-cv-06072 in the Southern District of New York ("City

of Pontiac Police case");

WHEREAS, on August 4, 2015, plaintiff Rutgers Enhanced Insurance Company filed a complaint in Case No. 1:15-cv-06129 in the Southern District of New York ("Rutgers case");

WHEREAS, on August 5, 2015, plaintiff Michael St. John filed a complaint in Case No. 1:15-cv-6139 in the Southern District of New York ("Michael St. John case");

WHEREAS, on August 17, 2015, plaintiff Oklahoma Firefighters Pension and Retirement System filed a complaint in Case No. 1:15-cv-06474 in the Southern District of New York ("Oklahoma case");

WHEREAS, on August 26, 2015, plaintiffs Cleveland Bakers and Teamsters Pension Fund, Cleveland Bakers and Teamsters Health and Welfare Fund, and Masterinvest Kapitalanlage GMBH filed a complaint in Case No. 1:15-cv-06782 in the Southern District of New York ("Cleveland case");

WHEREAS, on August 28, 2015, plaintiff United Food and Commercial Workers Local 1776 & Participating Employers Pension Fund filed a complaint in Case No. 15-cv-6853 in the Southern District of New York ("CW Local 1776 case");

WHEREAS, on September 1, 2015, plaintiff Marina Fouts filed a complaint in Case No. 15-cv-6892 in the Southern District of New York ("Fouts case");

WHEREAS, on September 4, 2015, plaintiff Employees' Retirement System of Rhode Island filed a complaint in Case No. 15-cv-7006 in the Southern District of New York ("Rhode Island case");

WHEREAS, on September 10, 2015, plaintiff City of Atlanta Firefighters' Pension Fund filed a complaint in Case No. 15-cv-7111 in the Southern District of New York ("Atlanta Firefighters case");

WHEREAS, on September 16, 2015, plaintiff H. Rogers Varner, Jr. filed a complaint in Case No. 15-cv-7325 in the Southern District of New York ("Varner case");

WHEREAS, on September 16, 2015, plaintiffs Jane Franklin and Jonathan Richard Williamson filed a complaint in Case No. 15-cv-7329 in the Southern District of New York ("Franklin case");

WHEREAS, on September 17, 2015, plaintiffs Laborers Local 100 and 397 Health and Welfare Fund and Laborers Local 100 and 397 Pension Fund filed a complaint in Case No. 15-cv-7385 in the Southern District of New York ("Laborers Local case");

WHEREAS, on September 17, 2015, plaintiff Bank of Jerusalem, Ltd. filed a complaint in Case No. 15-cv-7390 in the Southern District of New York ("Bank of Jerusalem case");

WHEREAS, on September 18, 2015, plaintiff Alaska Electrical Pension Fund filed a complaint in Case No. 15-cv-7420 in the Southern District of New York ("Alaska case");

WHEREAS, on September 21, 2015, plaintiff Employees' Retirement System of the Government of the Virgin Islands filed a complaint in Case No. 15-cv-55 in the District of the Virgin Islands ("Virgin Islands case");

WHEREAS, on September 22, 2015, plaintiff Endeavor Trading, LLC filed a complaint in Case No. 15-cv-7481 in the Southern District of New York ("Endeavor case");

WHEREAS, on September 24, 2015, plaintiff Police Retirement System of St. Louis filed a complaint in Case No. 15-cv-8417 in the Northern District of Illinois ("St. Louis Police case");

WHEREAS, on September 28, 2015, plaintiff Central Laborers' Pension Fund filed a complaint in Case No. 15-cv-7631 in the Southern District of New York ("Central Laborers' case");

WHEREAS, on September 28, 2015, plaintiff New Jersey Laborers Statewide Funds filed a complaint in Case No. 15-cv-7642 in the Southern District of New York ("New Jersey Laborers case");

WHEREAS, on September 29, 2015, plaintiff City of Providence filed a complaint in Case No. 15-cv-7688 in the Southern District of New York ("City of Providence case");

WHEREAS, on September 30, 2015, plaintiffs Richard Corbett and Brian Fisher filed a complaint in Case No. 15-cv-7735 in the Southern District of New York ("Corbett case");

WHEREAS, the allegations in the aforementioned matters, including the Franklin case, are substantially similar, some but not all of the aforementioned matters have been consolidated, and other plaintiffs may file additional complaints asserting substantially similar allegations and claims (collectively, the "Related Actions");

WHEREAS, there has been no prior request to extend any deadlines in the abovecaptioned lawsuit;

## NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED:

- 1. The undersigned counsel accept service of the complaint in the above-captioned lawsuit on behalf of their respective clients, expressly reserving the right to contest whether any party in the complaint is properly named, and without waiver of any defenses, including those related to personal jurisdiction and venue, except as to the sufficiency of process and the service of process.
- 2. Defendants' time to answer, move or otherwise respond to the complaint is hereby suspended until such time as the United States District Court for the Southern District of New York or any other court to which the case is assigned enters an order coordinating or consolidating the foregoing cases and any Related Actions, provided that:
  - a. upon resolution of proceedings concerning coordination or consolidation of the foregoing cases and any Related Actions, the parties shall confer in good faith regarding a proposed schedule for filing and responding to any consolidated complaint.
- 3. If Defendants' time to answer, move, or otherwise respond to a complaint in any Related Action or other pending action is not similarly suspended pending the coordination or consolidation proceedings, the parties shall meet and confer in good faith concerning the schedule in this action, with each side preserving all rights to apply to this Court or any other Court of competent jurisdiction for appropriate relief.

4. The undersigned counsel further agree, subject to the approval of the Court, to extend, until at least 60 days after the foregoing cases and any Related Actions have been coordinated or consolidated and any lead and liaison counsel have been identified for the proceedings, the time for submitting the proposed Case Management Plan specified in the Court's Pretrial Conference Notice.

DATED: October 1, 2015

Hon. Paul G. Gardephe United States District Judge

tm

Ingrid S. L'evorett (pending pro hac vice

PILLSBURY & COLEMAN, LLP 600 Montgomery St., 31st Floor San Francisco, California 94111 Tel: (415) 433-8000 Fax: (415) 433-4816 Ileverett@pillsburycoleman.com

Bob Sparling (pending pro hac vice)

WINSTON & STRAWN LLP

35 West Wacker Drive

Chicago, IL 60601

Tel: (312) 558-7941 Fax: (312) 558-5700

rsperling@winston.com

Elizabeth P. Papez (pending pro hac vice)

WINSTON & STRAWN LLP

1700 K Street, N.W.

Washington, D.C. 20006-3817

Tel: (202) 282-5678 epapez@winston.com

Penny Shane

Stephen Ehrenberg

SULLIVAN & CROMWELL LLP

125 Broad Street

New York, NY 10004

Tel: (212) 558-4000

Fax: (212) 558-3588

shanep@sullcrom.com

ehrenbergs@sullcrom.com

Attorneys for Goldman, Sachs & Co.

Richard C. Pepperman II

SULLIVAN & CROMWELL LLP

125 Broad Street

New York, NY 10004

Tel: (212) 558-4000

Fax: (212) 558-3588

peppermanr@sullcrom.com

Attorneys for The Bank of Nova Scotia, New York Agency

Robert Y. Sperling (pending pro hac vice) WINSTON & STRAWN LLP 35 West Wacker Drive

Chicago, IL 60601

Tel: (312) 558-7941 Fax: (312) 558-5700 rsperling@winston.com

Elizabeth P. Papez (pending pro hac vice) WINSTON & STRAWN LLP 1700 K Street, N.W. Washington, D.C. 20006-3817 Tel: (202) 282-5678

Tel: (202) 282-5678 epapez@winston.com

Penny Shane Stephen Ehrenberg SULLIVAN & CROMWELL LLP 125 Broad Street New York, NY 10004

Tel: (212) 558-4000 Fax: (212) 558-3588 shanep@sullcrom.com ehrenbergs@sullcrom.com

Attorneys for Goldman, Sachs & Co.

Righted C. Peppermin II SULLIVAN & CRUMWELL LA

125 Broad Street
New York, NY 10004
Tel: (212) 558-4000
Fax: (212) 558-3588
peppermaar@sullerom.com

Attorneys for The Bank of Nava Scotiu, New York Agency

Yvoune S. Quinn David H. Braff

Matthew A. Schwartz

Kathleen S. McArthur

SULLIVAN & CROMWELL LLP

125 Broad Street

New York, New York 10004

Telephone: (212) 558-4000

quinny@sullcrom.com

braffd@sullcrom.com

schwartzmatthew@sullcrom.com

mcarthurk@sullcrom.com

Attorneys for Defendant Barclays Capital
Inc.

Robert G. Houck

David Yeres

John D. Friel

CLIFFORD CHANCE US LLP

31 West 52thd Street

New York, New York 10019

Tel: (212) 878-8000

Fax: (212) 878-8375

robert.houck@cliffordchance.com david.yeres@cliffordchance.com

john.friel@cliffordchance.com

Attorneys for Defendant BMO Capital Markets Corp.

Yvonne S. Quinn
David H. Braff
Matthew A. Schwartz
Kathleen S. McArthur
SULLIVAN & CROMWELL LLP
125 Broad Street
New York, New York 10004
Telephone: (212) 558-4000
quinny@sullcrom.com
braffd@sullcrom.com
schwartzmatthew@sullcrom.com
mcarthurk@sullcrom.com

Attorneys for Defendant Barclays Capital

Robert G. Houck David Yeres

John D. Friel

CLIFFORD CHANCE US LLP

31 West 52<sup>nd</sup> Street

New York, New York 10019

Tel: (212) 878-8000 Fax: (212) 878-8375

robert.houck@cliffordchance.com david.yeres@cliffordchance.com john.friel@cliffordchance.com

Attorneys for Defendant BMO Capital Markets Corp.

-Jay B. Kasner

Shepard Goldfein

Paul M. Eckles

SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP

Four Times Square

New York, New York 10036

Phone: (212) 735-3000 Fax: (212) 735-2000 jay.kasner@skadden.com shepard.goldfein@skadden.com

paul.eckles@skadden.com

Attorneys for Defendant Citigroup Global Markets Inc.

David G. Januszewski

Elai Katz

Thorn Rosenthal

Herbert S. Washer

Krista Friedrich

CAHILL GORDON & REINDEL LLP

80 pine Street

New York, New York 10005

Telephone 212-701-3000

djanuszewski@cahill.com

Attorneys for Defendant Credit Suisse Securities (USA) LLC

Gary P. Naftalis

Alan R. Friedman

KRAMER LEVIN NAFTALIS & FRANKEL

LLP

1177 Avenue of the Americas

New York, New York 10036

Telephone: (212)715-9100

Facsimile: (212)715-8000

Jay B. Kasner Shepard Goldfein Paul M. Eckles SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP Four Times Square

New York, New York 10036 Phone: (212) 735-3000 Fax: (212) 735-2000 jay.kasner@skadden.com shepard.goldfein@skadden.com paul.eckles@skadden.com

Attorneys for Defendant Citigroup Global Markets Inc.

David G. Januszewski

Elai Katz

Thorn Rosenthal Herbert S. Washer Krista Friedrich

CAHILL GORDON & REINDEL LLP

80 pine Street

New York, New York 10005 Telephone: 212-701-3000 djanuszewski@cahill.com

Attorneys for Defendant Credit Suisse Securities (USA) LLC

Gary P. Naftalis

Alan R. Friedman

KRAMER LEVIN NAFTALIS & FRANKEL

LLP

1177 Avenue of the Americas New York, New York 10036 Telephone: (212)715-9100

Facsimile: (212)715-8000

Jay B. Kasner
Shepard Goldfein
Paul M. Eckles
SKADDEN, ARPS, SLATE,
MEAGHER & FLOM LLP
Four Times Square
New York, New York 10036
Phone: (212) 735-3000
Fax: (212) 735-2000
jay.kasner@skadden.com
shepard.goldfein@skadden.com
paul.eckles@skadden.com

Attorneys for Defendant Citigroup Global Markets Inc.

David G. Januszewski
Elai Katz
Thorn Rosenthal
Herbert S. Washer
Krista Friedrich
CAHILL GORDON & REINDEL LLP
80 pine Street
New York, New York 10005
Telephone: 212-701-3000
djanuszewski@cahill.com

Attorneys for Defendant Credit Suisse Securities (USA) LLC

Gary P. Naftalis

Alan R. Friedman

KRAMER LEVIN NAFTALIS & FRANKEL

LLP

1177 Avenue of the Americas New York, New York 10036 Telephone: (212)715-9100

Facsimile: (212)715-8000

Attorneys for Defendant Duiwa Capital Markets America Inc.

Thomas C. Rice

David J. Woll

Jeffrey II. Knox David Elbaum

STMPSON THACHER & BARTLETT LLP

425 Lexington Avenue

New York, New York 10017

Tel.: (212) 455-2000 Fax: (212) 455-2502 trice@stblaw.com

dwoll@stblaw.com

jeffrey.knox@stblaw.com david.clbaum@stblaw.com

Attorneys for Defendant Deutsche Bank Securities Inc.

Kenneth I. Schacter MORGAN, LEWIS & BOCKIUS LI P 101 Park Avenue Attorneys for Defendant Daiwa Capital Markets America Inc.

Thomas C. Rice
David J. Woll
Jeffrey H. Knox
David Elbaum
SIMPSON THACHER & BARTLETT LLP
425 Lexington Avenue
New York, New York 10017
Tel.: (212) 455-2000

Fax: (212) 455-2502 trice@stblaw.com dwoll@stblaw.com jcffrey.knox@stblaw.com david.elbaum@stblaw.com

Attorneys for Defendant Deutsche Bank Securities Inc.

Kenneth I. Schaeter

MORGAN, LEWIS & BOCKIUS LLP

101 Park Avenue

New York, New York 10178-0060

Telephone: 212.309.6865 Facsimile: 212.309.6001

kenneth.schacter@morganlewis.com

Jon R. Roelike (Pro Hac Vice Motion Forthcoming)
MORGAN, LEWIS & BOCKIUS LLP

1111 Pennsylvania Ave, NW

Washington, DC 20004 Telephone: 202.739.5754 Facsimile: 202.739.3001

jon.roellke@morganlewis.com

Attorneys for Defendant Jefferies LLC

Mark P. Gimbel

COVINGTON & BURLING LLP

The New York Times Building

620 Eighth Avenue New York, NY 10018

Tel: (212) 841-1161

Fax: (646) 441-9161 mgimbel@cov.com

Robert D. Wick

COVINGTON & BURLING LLP

One City Center

850 Tenth Street, NW

Washington, DC 20001

Telephone: (202) 662-5487 Fax: (202) 778-5487

rwick@cov.com

Attorneys for Defendant J.P. Morgan Securities LLC John E. Schmidtlein (admitted pro hac vice) Nicholas J. Boyle (NY Bar # 2846491) Lauren K. Collogan (admitted pro hac vice)

Lauren K. Collogan (admitted pro hac vice) Alexis A. Lien (admitted pro hac vice) WILLIAMS & CONNOLLY LLP

Washington, D.C. 20005
Telephone: (202) 434-5000
Facsimile: (202) 434-5029
jschmidtlein@wc.com
nboyle@wc.com
lcollogan@wc.com
alien@wc.com

725 Twelfth Street, N.W.

Attorneys for Defendant Merrill Lynch, Pierce, Fenner & Smith Incorporated

Brad S. Karp
PAUL WEISS RIFKIND WHARTON &
GARRISON LLP
1285 Avenue for the Americas
New York, NY 10019
212 373 3316 (o)
212 492 0316 (fax)
bkarp@paulweiss.com

Attorneys for Defendant Morgàn Stanley & Co. LLC

Nicholas J. Boyle (NY Bar # 2846491) WILLIAMS & CONNOLLY LLP 725 Twelfth Street, N.W. Washington, D.C. 20005

Washington, D.C. 20005 Telephone: (202) 434-5000 Facsimile: (202) 434-5029

nboyle@wc.com

Attorneys for Defendant Merrill Lynch, Pierce, Fenner & Smith Incorporated

Brad S. Karp

PAUL WEISS RIFKIND WHARTON &

**GARRISON LLP** 

1285 Avenue for the Americas

New York, NY 10019

212 373 3316 (o)

212 492 0316 (fax)

bkarp@paulweiss.com

Attorneys for Defendant Morgan Stanley & Co. LLC

Stuart J. Baskin

Jerome S. Fortinsky John A. Nathanson Joanna Shally

SHEARMAN & STERLING LLP

599 Lexington Avenue

New York, New York 10022-6069

Telephone (212) 848-4000 Facsimile: (646) 848-4000 sbaskin@shearman.com jfortinsky@shearman.com john.nathanson@shearman.com jshally@shearman.com

Attorneys for Defendant Mizuho Securities USA Inc.

Steven L. Holley
SULLIVAN & CROMWELL LLP
125 Broad Street
New York, New York 10004
Telephone: (212) 558-4000
holleys@sullcrom.com

Amanda F. Davidoff
SULLIVAN & CROMWELL LLP
1700 New York Avenue, N.W.
Washington, D.C. 20006
Telephone: (202) 956-7500
davidoffa@sullcrom.com

Attorneys for Defendant Nomura Securities International, Inc.

Marshall H. Fishman Leah Friedman Sophie-Charlotte Rohnke Stuart J. Baskin
Jerome S. Fortinsky
John A. Nathanson
Joanna Shally
SHEARMAN & STERLING LLP
599 Lexington Avenue
New York, New York 10022-6069
Telephone (212) 848-4000
Facsimile: (646) 848-4000
sbaskin@shearman.com
jfortinsky@shearman.com
john.nathanson@shearman.com
jshally@shearman.com

Attorneys for Defendant Mizuho Securities USA Inc.

had Zeg-

Steven L. Holley

SULLIVAN & CROMWELL LLP

125 Broad Street

New York, New York 10004

Telephone: (212) 558-4000

holleys@sullcrom.com

Amanda F. Davidoff

SULLIVAN & CROMWELL LLP

1700 New York Avenue, N.W.

Washington, D.C. 20006

Telephone: (202) 956-7500

davidoffa@sullcrom.com

Attorneys for Defendant Nomura Securities International, Inc.

Stuart J. Baskin
Jerome S. Fortinsky
John A. Nathanson
Joanna Shally
SHEARMAN & STERLING LLP
599 Lexington Avenue
New York, New York 10022-6069
Telephone (212) 848-4000
Facsimile: (646) 848-4000
sbaskin@shearman.com
jfortinsky@shearman.com
john.nathanson@shearman.com
jshally@shearman.com

Attorneys for Defendant Mizuko Securities USA Inc.

Steven L. Holley
SULLIVAN & CROMWELL LLP
125 Broad Street
New York, New York 10004
Telephone: (212) 558-4000
holleys@sullcrom.com

Amanda F. Davidoff
SULLIVAN & CROMWELL LLP
1700 New York Avenue, N.W.
Washington, D.C. 20006
Telephone: (202) 956-7500
davidoffa@sullcrom.com

Attorneys for Defendant Nomura Securities International, Inc.

Marshall H. Fishman

Leah Friedman

Sophie-Charlotte Rohnke

FRESHFIELDS BRUCKHAUS DERINGER
US.LLP
601 Lexington Avenue
New York, New York 10022
212-277-4000
marshall.fishman@freshfields.com
leah.friedman@freshfields.com
sophie.rohnke @freshfields.com

Attorneys for Defendant RBC Capital Markets, LLC

Joel M. Cohen Arthur J. Burke Greg D. Andres Melissa C. King

DAVIS POLK & WARDWELL LLP

450 Lexington Avenue New York, New York 10017 Telephone: (212) 450-4000 Facsimile: (212) 450-4800 joel.cohen@davispolk.com arthur.burke@davispolk.com

greg.andres@davispolk.com melissa.king@davispolk.com

Attorneys for Defendant RBS Securities Inc.

David C. Esseks
Todd S. Fishman
ALLEN & OVERY LLP
1221 Avenue of the Americas
New York, New York 10020

Tel: 212-610-6300 Fax: 212-610-6399 david.esseks@allenowery.com

todd.fishman@allenovery.com

Attorneys for Defendant SG Americas Securities, LLC

FRESHFIELDS BRUCKHAUS DERINGER US LLP 601 Lexington Avenue New York, New York 10022 212-277-4000 marshall.fishman@freshfields.com leah.friedman@freshfields.com sophie.rohnke @freshfields.com

Attorneys for Defendant RBC Capital Markets, LLC

Joel M. Cohen
Arthur J. Burke
Greg D. Andres
Melissa C. King
DAVIS POLK & WARDWELL LLP
450 Lexington Avenue

New York, New York 10017 Telephone: (212) 450-4000 Facsimile: (212) 450-4800 joel.cohen@davispolk.com arthur.burke@davispolk.com greg.andres@davispolk.com melissa.king@davispolk.com

Attorneys for Defendant RBS Securities Inc.

David C. Esseks Todd S. Fishman

ALLEN & OVERY LLP

1221 Avenue of the Americas

New York, New York 10020

Tel: 212-610-6300 Fax: 212-610-6399

david.esseks@allenovery.com todd fishman@allenovery.com

Attorneys for Defendant SG Americas Securities, LLC

Mark A. Kirsch

Joel S. Sanders (Pro Hac Vice Motion

Forthcoming)
Gabrielle Levin

GIBSON, DUNN & CRUTCHER LLP

200 Park Avenue, 47th Floor New York, New York 10166

Telephone: (212) 351-4000 Facsimile: (212) 351-4035 MKirsch@gibsondunn.com JSanders@gibsondunn.com GLevin@gibsondunn.com

Attorneys for Defendant UBS Securities LLC

Adam S. Hakki James P. Tallon Keith R. Palfin

SHEARMAN & STERLING LLP

599 Lexington Avenue

New York, New York 10022-6069

Telephone: (212) 848-4000 Facsimile: (646) 848-4000 alakki@shcarman.com jtallon@shcarman.com kpalfin@shcarman.com

Attorneys for Defendant BNP Paribas Securities Corp.

Pamela A. Miller Edward N. Moss

O'MELVENY & MYERS LLP

7 Times Square

New York, New York 10036 Telephone: (212) 326-2000 Facsimile: (212) 326-2061 pmiller@omm.com

emoss@omm.com

Attorneys for Defendant TD Securities (USA) LLC

Lewis J. Liman

CLEARY GOTTLIEB STEEN &

HAMILTON LLP

One Liberty Plaza

New York, New York 10006

Phone (212) 225-2000

Fax (212) 225-3999

Email <u>Iliman@cgsh.com</u>

Brian Byrne (pro hac vice application forthcoming)
CLEARY GOTTLIEB STEEN &
HAMILTON LLP
2000 Pennsylvania Avenue, NW
Washington, DC 20006
Phone (202) 974-1500
Fax (212) 974-1999
Email: bbyrne@cgsh.com

Attorneys for HSBC Securities (USA) Inc.

Ethan Litwin

HUGHES HUBBARD & REED LLP

One Battery Park Plaza

New York, New York 10004-1482

Telephone: 212-837-6000

Fax: 212-422-4726

ethan.litwin@hugheshubbard.com

Attorneys for Defendant Cantor Fitzgerald & Co.